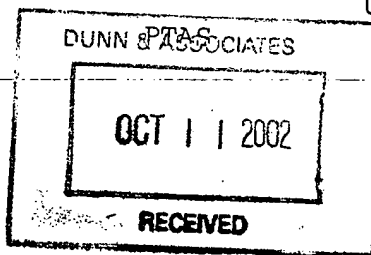




OCTOBER 07, 2002

DUNN & ASSOCIATES  
MICHAEL L. DUNN  
P.O. BOX 10  
NEWFANE, NY 14108



Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov



\*102180794A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/05/2002

REEL/FRAME: 013149/0318  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
MASON, HUGH S.

DOC DATE: 07/19/2002

ASSIGNEE:  
BOYCE THOMPSON INSTITUTE FOR PLANT  
RESEARCH, INC.  
TOWER ROAD  
ITHACA, NEW YORK 14853-1801

SERIAL NUMBER: 09420695  
PATENT NUMBER:

FILING DATE: 10/19/1999  
ISSUE DATE:

DIANE RUSSELE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

08-07-2002

FORM PTO-1595

(Rev. 3/01)

OMB No. 0651-0027 (exp. 5/31/02)



102180794

EET

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hugh S. Mason

2. Name and address of receiving party(ies)

Name: **Boyce Thompson Institute for Plant Research, Inc.**

Internal Address:

Street Address: **Tower Road**

City: **Ithaca** State: **New York** Zip: **14853-1801**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **July 19, 2002**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s):

**09/420,695**

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Dunn & Associates**

Internal Address: **P.O. Box 10**

Street Address: **same as above**

City: **Newfane** State: **NY** ZIP: **14108**

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ **40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

**04-1790**

(Attach duplicate copy of this page if paying by deposit account)

RECEIVED  
2002 AUG -5 AM 11:50  
FINANCE SECTION

08/07/2002 TDI A21 00000004 09420695

01 FG:581 40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document; however, the above information is not to be used to interpret the document which speaks for itself.*

**Michael L. Dunn**

Name of Person Signing

Signature

**July 29, 2002**  
Date

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT OF INVENTION

WHEREAS, I, Hugh S. Mason residing at 1311 Hanshaw Road, Ithaca, New York 14850, have invented certain new and useful improvements in:

ORAL IMMUNOLOGY USING PLANT PRODUCT  
CONTAINING HEPATITIS SURFACE ANTIGEN

for which we have made application for Letters Patent in the United States as U.S. Serial No. 09/420,695 filed October 19, 1999;

AND WHEREAS, BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC., Tower Road, Ithaca, New York 14853-1801, organized in the State of New York, is desirous of acquiring all interest of the above-named inventor in said invention, said application disclosing the invention and any Letters Patent which may be granted therefor:

NOW THIS INDENTURE WITNESSETH, that for the sum of One Dollar, and other valuable consideration to me in hand paid, the receipt whereof is hereby acknowledged, I hereby assign, sell and transfer unto said BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC. my entire right, title and interest in said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto;

And I hereby authorize and request the Commissioner of Patents to issue said United States Letters Patent to said BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC., as the Assignee of my whole right, title and interest thereto;

And I further agree to execute all necessary and lawful future documents, including assignments in favor of BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC., or its designee as BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH, INC. or its Assignee may from time-to-time present to me in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the Assignee thereof shall hereafter require and prepare at its own expense.

WITNESS, my hand and seal this 19 day of July, 2002

WITNESS:

Nancy L Kelley

Hugh S. Mason  
Hugh S. Mason

WITNESS:

Patricia K. Mason

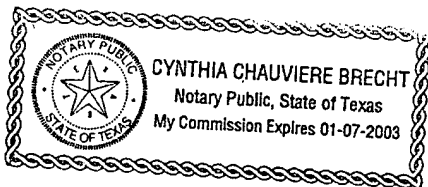
STATE OF Texas

SS:

COUNTY OF BRADLOR

On this 19 day of July in the year of 2002, before me, the subscriber, personally appeared Hugh S. Mason to me known to be the person described in and who executed the foregoing instrument, and who acknowledged that he executed the same.

[SEAL]

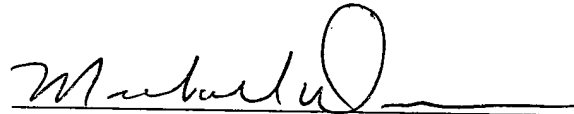


Cynthia Brecht  
Notary Public

Docket No. RPP:156A US

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I certify that this Assignment for recordal is being deposited on July 29, 2002 with the U.S. Postal Service as first class mail addressed to the Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

A handwritten signature in dark ink, appearing to read "Michael L. Dunn", is written over a horizontal line. The signature is fluid and cursive.

Michael L. Dunn

Registration No. 25,330